

**PATENT APPLICATION**

**SYSTEM AND METHOD FOR EFFICIENTLY  
DISTRIBUTING DATA**

Inventor(s): Brian MacIsaac, a citizen of Canada, residing at  
89 Insmill Crescent  
Kanata, Ontario K2T 1G6 Canada

Greg Lehman, a citizen of Canada, residing at  
18 Crystal Beach Drive  
Ottawa, Ontario K2H 5M7 Canada

Assignee: Catena Networks, Inc.  
303 Twin Dolphin Drive, Suite 600  
Redwood Shores, CA 94065

Entity: Small business concern

## **SYSTEM AND METHOD FOR EFFICIENTLY DISTRIBUTING DATA**

### **CROSS-REFERENCES TO RELATED APPLICATIONS**

- 5   **[0001]**   In accordance with 35 U.S.C. § 119, priority is claimed from Canadian Application Number 2,392,809, filed July 9, 2002.

### **STATEMENT AS TO RIGHTS TO INVENTIONS MADE UNDER FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT**

**[0002]**   NOT APPLICABLE

- 10           REFERENCE TO A "SEQUENCE LISTING," A TABLE, OR A COMPUTER  
PROGRAM LISTING APPENDIX SUBMITTED ON A COMPACT DISK.

**[0003]**   NOT APPLICABLE

### **BACKGROUND OF THE INVENTION**

- [0004]**   The present invention relates generally to a system and method for efficiently  
15   distributing data, specifically in a network environment with distributed network components.

- [0005]**   Referring to Figure 1, an example of a distributed network system is illustrated  
generally by numeral 100. The system comprises a common element (CE) 102 and a plurality  
of subtended hardware entities 104. Examples of hardware entities 104 include Broadband  
Loop Carriers (BLCs), Digital Subscriber Loop Access Multiplexers (DSLAMs), line cards,  
20   and the like. The CE 102 is a central control card that manages the various functions of the  
system 100 and the subtended hardware entities 104. The CE 102 is further coupled to a file  
server 106 for receiving software updates. Typically, the file server 106 is a remote File  
Transfer Protocol (FTP) server, which stores the software images.

- [0006]**   The following procedure described the steps required to either upgrade or install new  
25   software on the hardware entities 104. Image management software at the CE 102 establishes  
an FTP session to the file server 106, which contains a software image to be loaded onto a

destination hardware entity 104. The software is to be stored on the hardware entity 104 in the form of a file. Thus, a destination file is opened on the hardware entity 104 in preparation for the file transfer.

5 [0007] New software, or a software upgrade, is transferred to the CE 102. However, there is often not enough memory available at the CE 102 to hold a complete software image for any of the subtended hardware entities 104. Thus, the software is transferred to the CE 102 in blocks. The blocks are sized so that they can be stored in the CE's memory. As each block arrives at the CE 102, it is temporarily stored in memory. The block is then transferred to the destination file on the hardware entity 104. The CE 102 then retrieves the next block from the  
10 file server 106 and continues this process until the entire file is transferred. When the software image has been completely transferred to the hardware entity 104, the file is closed and the FTP session is terminated.

[0008] This whole process is repeated for the next hardware entity that requires the same software image, including establishing a new FTP session and transferring the file across the  
15 network.

[0009] As it can be seen, performing a software upgrade to a system that contains multiple instances of the same hardware is time consuming as each hardware entity must be upgraded individually. This problem is compounded when the system contains multiple hardware entities that require different versions of the software.

20 [0010] Therefore, it is an object of the present invention to obviate or mitigate at least some of the above mentioned disadvantages.

## BRIEF SUMMARY OF THE INVENTION

[0011] It is an advantage of the present invention that software upgrade performance is improved and an overall time required to upgrade a system is reduced as compared with the  
25 prior art.

[0012] In accordance with an aspect of the present invention, there is provided a common element including a data management agent for distributing data from a source location to a set of hardware entities, which are subtended from said common element, said data

management agent comprising: a transfer agent for retrieving said data from said source location; a buffer pool for storing said retrieved data; and a plurality of download agents, each for retrieving said data from said buffer pool and transmitting said retrieved data to a corresponding hardware entity.

5 [0013] In accordance with another aspect of the present invention, there is provided a method for distributing data from a source location to a set of hardware entities subtended from a common element comprising the steps of: retrieving at said common element said data from said source location; storing said data in a buffer pool at said common element; and transmitting, in parallel, data from said data pool to each hardware entity in said set of  
10 hardware entities.

[0014] In accordance with yet another aspect of the present invention, there is provided a data carrier comprising instructions for performing a method for distributing data from a source location to a set of hardware entities subtended from a common element, the data carrier comprising: code for retrieving, at the common element, the data from the source  
15 location; code for storing the data in a buffer pool at the common element; and code for transmitting, in parallel, data from the data pool to each hardware entity in the set of hardware entities.

#### BRIEF DESCRIPTION OF THE DRAWINGS

[0015] Embodiments of the invention will now be described by way of example only, with  
20 reference to the following drawings in which:

**Figure 1** is a block diagram of a distributed network system (prior art);

**Figure 2** is a block diagram of a distributed network system in accordance with an embodiment of the invention;

**Figure 3** is a flow chart illustrating the operation of downloading software in  
25 accordance with the embodiment of the invention illustrated in Figure 2; and

**Figure 4** is a block diagram of a distributed network system in accordance with an alternate embodiment of the invention.

## DETAILED DESCRIPTION OF THE INVENTION

[0016] For convenience, like numerals in the description refer to like structures in the drawings. Referring to Figure 2, a distributed network system in accordance with an embodiment of the invention is illustrated generally by numeral 200. The system 200

5 comprises a CE 102, a plurality of subtended hardware entities 104, and a file server 106 as described with reference to the prior art. In the present embodiment, however, the CE 102 further includes a plurality of instances of a software management agent 202, which are managed by image management software. Each instance of the software management agent 202 is responsible for downloading software common to a plurality of hardware entities 104.  
10 Each of the software management agents 202 includes a transfer agent 204, a buffer pool 206, and a plurality of download agents 208. Typically, each download agent 208 is associated with one of the hardware entities 104.

[0017] The transfer agent 204 is typically a task or thread of execution that manages the transfer of the software image from the file server 106 to the buffer pool 206. The download  
15 agents 208 are typically tasks or threads of execution that handle the transfer of the software image from the buffer pool 206 to the hardware entities 104. Thus, for example, if a system comprises 20 line cards of the same type, 20 download agent tasks 208 are created.

[0018] A buffer pool 206 is used to temporarily store the software image. As described with reference to the prior art, if the software image size is greater than the size of available  
20 memory, in this case the buffer pool 206, the software image is transferred in blocks. These blocks are stored by the buffer pool 206 so that they can be transferred by the download agents 208. The number of buffers in the buffer pool 206 as well as the individual buffer size of each buffer in the pool is determined by the image management software while creating the software management agent 202.

25 [0019] Further, this architecture can be leveraged such that groupings, or sets, of hardware entities 104 can be organized for different hardware entities in the system. The sets can be arranged in accordance with the hardware entity type, version, or other convenient grouping factor. The set can then be used to simultaneously download software for each of the hardware entities 104. Thus, for example, a first group of hardware entities will receive a

software download from a first software agent, and a second group of hardware entities will receive a software download from a second software agent.

[0020] Referring to Figure 3, a flow chart illustrating the operation of the software download in accordance with the present embodiment is illustrated generally by numeral 300.

5 . At step 302, the image management software instantiates a software management agent 202. The software management agent 202 includes a transfer agent 204, a buffer pool 206, and a plurality of download agents 208. The number of download agents 208 preferably corresponds to the number of hardware entities 104 receiving the software download.

[0021] As previously mentioned, the size of the buffer pool 206 is determined by the image  
10 management software. The size of the buffer pool 206, as well as each buffer in the buffer pool 206, is determined in accordance a number of factors, as will be apparent to a person skilled in the art upon review of this description. For example, if it is determined that a single software download is required (that is, only one software management agent 202 is instantiated), all of the memory available for software download is assigned to the buffer pool  
15 206. Alternately, if multiple software downloads are required at the same time, that is multiple software management agents 202 are instantiated, the memory available for software download is partitioned between the buffer pools 206 of the different software management agents 202.

[0022] Yet further, if, for example, a preferred number of buffers in the buffer pool 206 is  
20 fixed, then the size of each buffer in the buffer pool is determined in accordance with the allotted memory. Alternately, if, for example, a preferred size of each buffer in the buffer pool 206 is fixed, then the number of buffers in the buffer pool 206 is determined in accordance with the allotted memory.

[0023] In step 304, the software management agent 202 establishes an FTP session with the  
25 file server 106 for the duration of the software image transfer. In step 306, the transfer agent 204 begins to transfer blocks of the software image from the file server 106 to the buffer pool 206. Preferably, the size of each block transferred corresponds to the size of a buffer in the buffer pool 206.

[0024] In step 308, each of the download agents 208 accesses the buffer pool 206 and transfers the program block stored therein to its corresponding hardware entity 104. Thus, it can be seen that the download agents 208 access the buffer pool in parallel, thereby reducing the time required to download a common software image to a plurality of hardware entities.

5 [0025] Furthermore, it is a goal of the image management software to minimize the time between the transfer agent 204 loading a block into the buffer pool 206 and the download agent 208 downloading the block to the plurality of hardware entities 104. Such a reduction in time will improve the efficiency of transferring the software image from the file server 106 to the hardware entities 104. The image management software can adjust the buffer pool  
10 depth dynamically, that is the number of buffers that comprise the buffer pool 206, to trade off required memory versus performance. This balancing is performed due to the real-time processor impact and responsiveness, as well as the lack of available memory.

[0026] In step 310, it is determined whether the block transferred by the transfer agent 204 was the last block of the software image. If the previously transferred block is not the last  
15 block of the image, the operation returns to step 306, and the transfer agent 204 retrieves the next block from the file server 106 and places it in the buffer pool 206. If the previously transferred block is the last block of the image, the operation proceeds to step 312. In step 312, the FTP connection to the file server 106 is terminated and the download agents 208 continue to transmit blocks until the buffer pool 206 is empty.

20 [0027] Referring to Figure 4, a distributed network system in accordance with an alternate embodiment of the invention is illustrated generally by numeral 400. In the present embodiment, the CE image management software reads a previously transferred software image from one of the hardware entities 104, rather than the file server 106. The image is then written into multiple similar hardware entities 104 in parallel, as described with reference  
25 to the previous embodiment.

[0028] Thus, it can be seen that the present invention provides several advantages of the prior art. Multiple like hardware entities having the same software images can be upgraded, while requiring only one image transfer from a remote server. RAM used on the CE card can be dynamically controlled, improving the overall transfer performance. Also, one or more

like hardware entities can be upgraded using a previously transferred software image from another similar hardware entity.

[0029] The software management agent 202 may generally correspond to a data carrier, code segment or computer program embodied on a computer-readable medium. Such  
5 computer-readable medium may be a portion of the memory of the CE card 102, which may be random access memory. The computer-readable medium may also include ROM, a disk drive, an electronic signal transmitted via wired or wireless carrier, etc.

[0030] Also, also the previous embodiment was described with reference to using FTP for file transfers, the invention is not limited to this protocol. Similarly, although the description  
10 makes specific reference to software, it can be applied to all forms of data. Thus, it will be appreciated that variations of some elements are possible to adapt the invention for specific conditions or functions. The concepts of the present invention can be further extended to a variety of other applications that are clearly within the scope of this invention. Having thus described the present invention with respect to a preferred embodiments as implemented, it  
15 will be apparent to those skilled in the art that many modifications and enhancements are possible to the present invention without departing from the basic concepts as described in the preferred embodiment of the present invention. Therefore, what is intended to be protected by way of letters patent should be limited only by the scope of the following claims.